

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant : Knott et al
U.S. Serial No. : To be assigned
Filing Date : Herewith
For : **USE OF ORGANOFUNCTIONALLY MODIFIED
POLYSILOXANES CONTAINING PHENYL
DERIVATIVES AS DISPERSANTS AND WETTING
AGENTS FOR FILLERS AND PIGMENTS IN
AQUEOUS PIGMENT PASTES AND INK OR PAINT
FORMULATIONS"**

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INFORMATION DISCLOSURE STATEMENT

Mail Stop Patent Application
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Sir:

Enclosed are copies of the following references for this application:

1. Schröder et al; "Energetische Betrachtungen zu löse- und
bindemittelhaltigen Pigmentdispersionen", pp. 11-16;

2. Hildred et al, "Acrylate als Additive für wäßrige Beschichtungsstoffe",
farbe + lack, 96, Jahrgang, 11/1990, pp. 857-859;
3. Staffel et al, "Einsatz von kondensierten Phosphaten als Dispergiermittel",
farbe + lack, 100, Jahrgang, 7/1994, pp. 523-527;
4. Schöberl et al, "Ökologisch relevante Daten von Tensiden in Wasch-und
Reinigungsmitteln", Tenside Surfactants Detergents 25 (1988) pp. 86-97;
5. Nimrod et al, "Environmental Estroxyates Effects of Alkylphenol
Ethoxyates", Critical Reviews in Toxicology, 26(3):335-364 (1996);
6. Frahn et al, "New Modified Silicon Dioxides for Radiation Cured
Coatings", pp. 147-156 (English), pp. 89-99 (German);
7. J. Bieleman, "Replacement of Alkylphenolethoxylate Additives",
Coatings and the Environment, page 14, (1995);
8. WO 02/14415 A1 published February 21, 2002;
9. WO 01/60925 A2 published August 23, 2001;
10. EP 0 731 148 A2 published February 24, 1996;
11. WO 94/21701 published September 29, 1994;
12. DE 44 16 336 A1 published November 16, 1995;
13. US 3,980,602, September 14, 1976, Jakubauskas;
14. WO 94/18260 published August 18, 1994;
15. EP 0 311 157 B1 published April 12, 1989;
15. DE 41 40 793 C1 published March 11, 1993;
16. EP 0 546 407 A2 published June 16, 1993; and
17. EP 0 546 406 A2 published June 16, 1993.

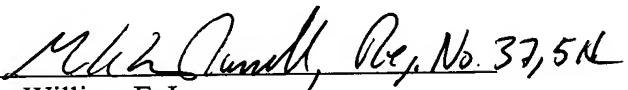

REMARKS

Also enclosed is a PTO Form 1449 listing the documents mentioned above. Entry of this Information Disclosure Statement and an early examination on the merits are respectfully solicited.

Please charge any additional fees to Deposit Account No. 50-0320.

Respectfully submitted,

FROMMER LAWRENCE & HAUG LLP
Attorneys for Applicants

By 
 William F. Lawrence
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Based on Form PTO-1449 (3/90) LIST OF REFERENCES CITED BY APPLICANT (Use several sheets if necessary)				ATTY. DOCKET NO.		SERIAL NO.	
				512425-2093		To Be Assigned	
				APPLICANT			
				Knott et al			
				FILING DATE		GROUP	
				Herewith		To Be Assigned	

U.S. PATENT DOCUMENTS							
EXAMINE R INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	US 3,980,602	9/14/76	Jakubauskas			
	AB						

FOREIGN PATENT DOCUMENTS								
		DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUBCLASS	TRANSLATION	
							YES	NO
	AC	WO 02/14415 A1 -	2/21/02	PCT				
	AD	WO 01/60925 A2 —	8/23/01	PCT				
	AF	EP 0 731 148 A2 —	2/24/96	Europe				
	AE	WO 94/21701 —	9/29/94	PCT				
	AF	DE 44 16 336 A1 —	11/16/95	Germany				
	AG	WO 94/18260 —	8/18/94	PCT				
	AH	EP 0 311 157 B1 —	4/12/89	Europe				
	AI	DE 41 40 793 C1 —	3/11/93	Germany				
	AJ	EP 0 546 407 A2	8/16/93	Europe				
	AK	EP 0 546 486 A2 —	6/16/93	Europe				

OTHER PRIOR ART (Including Author, Title, Date, Pertinent Pages, Etc.)		
	AL	Schröder et al; "Energetische Betrachtungen zu löse- und bindemittelhaltigen Pigmentdispersionen", pp. 11-16;
	AM	Hildred et al, "Acrylate als Additive für wäßrige Beschichtungsstoffe", farbe + lack, 96, Jahrgang, 11/1990, pp. 857-859;
	AN	Staffel et al, "Einsatz von kondensierten Phosphaten als Dispergiermittel", farbe + lack, 100, Jahrgang, 7/1994, pp. 523-527;
	AO	Schöberl et al, "Ökologisch relevante Daten von Tensiden in Wasch- und Reinigungsmitteln", Tenside Surfactants Detergents 25 (1988) pp. 86-97;
	AP	Nimrod et al, "Environmental Estroxyates Effects of Alkylphenol Ethoxyates", Critical Reviews in Toxicology, 26(3):335-364 (1996);
	AQ	Frahn et al, "New Modified Silicon Dioxides for Radiation Cured Coatings", pp. 147-156 (English), pp. 89-99 (German);
	AR	J. Bieleman, "Replacement of Alkylphenolethoxyate Additives", Coatings and the Environment, page 14, (1995);

EXAMINER	DATE CONSIDERED
* EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.	